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Organic Photochemistry. LIV.¹ Concertedness, Stereochemistry, and EnergyDissipation in the Di- π -methane Rearrangement. Source of Singlet-Triplet Reactivity Differences

Sir:

Previously, in noting the generality of the di- π -methane rearrangement, we suggested that bicyclic di- π -methanes rearrange by way of triplet excited states in contrast to the acyclic di- π -methanes where the singlet reacts smoothly and where the triplet excited state is either totally or nearly totally unreactive.

We now report evidence (a) which clearly demonstrates the reason for this difference in reactivity, (b) which delineates an important part of the di- π -methane reaction stereochemistry, and (c) which reveals a hidden energy dissipation process in the triplet photochemistry of certain of these systems.

We synthesized the *cis*- and *trans*-1,1-diphenyl-3,3dimethyl-1,4-hexadienes (1a and 1b, respectively).² The *cis* isomer 1a was obtained from the Wittig reaction of ethylidenetriphenylphosphorane with the known³ 2,2-dimethyl-4,4-diphenyl-3-butenal. The preferential formation of the *cis* stereoisomer is expected from literature investigations⁴ of the reaction. The *trans* isomer 1b was obtained from the benzophenone-sensitized irradiation of 1a.

The stereochemistry of the isomers **1a** and **1b** was most clearly defined from their infrared spectra. The *trans* isomer had a strong absorption band at 10.33 μ (968 cm⁻¹) characteristic of *trans*-disubstituted olefins and also present in other *trans* compounds in this study. No corresponding absorption was found in the 9.8– 12.5- μ (800–1020 cm⁻¹) region of the *cis* compound **1a**.

Direct irradiation of trans-1,1-diphenyl-3,3-dimethyl-1,4-hexadiene (1b) afforded one product, 2b, cleanly in a preparatively useful reaction. Unreacted trans-1,1diphenyl-3,3-dimethyl-1,4-hexadiene (1b) was recovered with inappreciable (<3%) stereoisomerization to cis-diene 1a. Similar direct irradiation of cis-1,1diphenyl-3,3-dimethyl-1,4-hexadiene (1a) afforded a single product, 2a, different from 2b, in low conversion (i.e., ca. 10%) runs, and only ca. 3% diene stereoisomerization was noted. The structures of the photoproducts (2a and 2b) were established unambiguously by nmr, as the cis- and trans-1,1-dimethyl-2,2-diphenyl-3propenylcyclopropane stereoisomers. In each case absorption was observed deriving from two nonequivalent unsplit methyl groups (τ 8.90 and 8.98 in 2a and 8.88 and 9.02 in **2b**), an allylic methyl group (τ 8.14 in 2a and 8.31 in 2b) split by coupling with an adjacent vinyl group (J = 6 cps) and an allylic vinyl hydrogen (J = 2 cps), a cyclopropyl methine (τ 7.80 in 2a and 8.02 in **2b**) split (J = 10 cps) into a doublet by an adjacent vinyl hydrogen, two vinyl hydrogens (τ 4.47, doublet of quartets, J = 6.0 (q) and 11 cps (d), 5.07, doublet of doublet of quartets, J = 11 (d), 10 (d), and 2 cps (q) in 2a; and in 2b τ 4.35, doublet of quartets, J =6.5 (q) and 15 cps (d), 5.08, doublet of doublet of quartets, J = 15 (d), 10 (d), and 2 cps (q)) as part of a CHCH=CHCH₃ moiety, and ten aromatic hydrogens at τ 2.89. The configurational assignments derive from the large (i.e., 15-cps) vinyl-vinyl coupling constant for 2b as expected for *trans* ethylenic hydrogens and the smaller 11-cps coupling expected and found for the cis stereoisomer 2a. This assignment was confirmed by the absence of trans C-H out-of-plane bending absorption in the $10-12-\mu$ (780-1000 cm⁻¹) region of the ir of **2a** contrasted with the strong characteristic $10.4-\mu$ (960 cm⁻¹) band found for the *trans* stereoisomer **2b**. Hence, the reaction proved to be stereospecific and led to retention of the original double bond configuration (see eq 1).



Evidence that this stereospecific process derived from the singlet excited state came from sensitization experiments. Under reaction conditions designed to allow efficient triplet but no singlet energy transfer, benzophenone sensitization of either *cis*-1,1-diphenyl-3,3-dimethyl-1,4-hexadiene (1a) or the *trans*-diene 1b resulted in no di- π -methane rearrangement. Rather *cis*-*trans* isomerization occurred with a steady state of 97% trans-diene 1b and 3% cis-diene 1a.

The di- π -methane reaction of **1a** and **1b** can be seen to result from only one of the two *a priori* available pathways in Chart I, namely route A. This preference has analogy in our report on the directionality of the di- π -methane rearrangement^{1a} and is attributed to the greater electron density at C-5 in species **4a** than at C-1 as a result of benzhydryl delocalization. Density at C-5 is needed for bond-3,4 fission (arrows a) while electron density at C-1 is required for bond-2,3 cleavage. The same selectivity encountered in the rearrangement of *trans*-diene **1b** is similarly understood.

Thus electron delocalization in species 4a and 4b accounts for the reaction directionality. However, these species cannot be long-lived intermediates since rotation about bond 4,5 would then allow interconversion of 4a and 4b with loss of stereospecificity of the reaction. The species 4a and 4b in Chart I, as well as the other biradical-like entities shown, probably then represent points on an excited-state potential-energy

^{(1) (}a) For paper LIII of the series see H. E. Zimmerman and A. C. Pratt, J. Amer. Chem. Soc., 92, 1407 (1970); (b) paper LII: H. E. Zimmerman and H. Iwamura, *ibid.*, in press.

^{(2) (}a) All compounds analyzed acceptably. Full experimental details will be presented in our full publication. (b) Irradiations were carried out in a medium-pressure immersion lamp apparatus.

⁽³⁾ M. Julia and M. Baillarge, Bull. Soc. Chim. Fr., 734 (1966).

⁽⁴⁾ W. P. Schneider, Chem. Commun., 786 (1969).



surface. A minimum interpretation requires that the rate of rotation of 4a and 4b be slower than ring opening. To the extent that rotation is impeded by odd electron delocalization into the three-membered ring, this merely represents the beginning of the ring-opening process.

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The observation of cis-diene-trans-diene interconversion of the triplet rather than di- π -methane rearrangement corresponds to hidden processes in the acyclic di- π -methanes studied previously⁵ since lack of stereochemistry rendered excited-state free rotation unobservable. This process allows triplet energy dissipation and accounts for the general failure of acyclic di- π -methanes to rearrange via their triplets. Bicyclic di- π -methane triplets, being geometrically unable to dissipate energy by this "free rotor" effect, are known⁶ to rearrange. This difference depending on excitedstate multiplicity suggests either that the excited singlets have less driving force for free rotation than the triplets or that the di- π -methane process is particularly facile for the singlet.

An interesting facet of the triplet cis-trans isomerization is the ability of the high-energy propenyl moiety to isomerize despite the expectation that the diphenylvinyl group will absorb most of the excitation energy. This may indicate that species such as **4a** and **4b** are reached, but that in contrast to the singlet situation these do not rapidly proceed further.

Finally, the present results establish the stereochemistry at still another center in the di- π -methane process. Previous results indicated^{3b} a preference for inversion at C-3. The present results reveal the preference for the stereochemistry at C-5 as shown in Chart II which depicts the orbital and molecular change as the reaction proceeds. It is seen that both lobes at C-5 are utilized as in 7 rather than just one lobe as in 8. The latter would lead to inversion of the isopropenyl con-

Chart II. Stereochemical Course of the Rearrangement; Lack of Pivoting about Bond 4,5 and Möbius Six Orbital Allowedness Depicted



figuration. This fits theoretical expectation^{5a} for a six-electron, six-orbital excited state if the stereochemistry at C-1 is disrotatory relative to C-3. This point is being tested.

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91, 5307 (1969).

^{(6) (}a) H. E. Zimmerman, R. W. Binkley, R. S. Givens, G. L. Grune-wald, and M. A. Sherwin, *ibid.*, 89, 3932 (1967); 91, 3316 (1969); (b) H. E. Zimmerman, R. S. Givens, and R. M. Pagni, *ibid.*, 90, 4191 (1968); 91, 6096 (1969).